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Dated: April 29, 2004 Signature: _____

(Jeffrey S. Dickey)

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Docket No.: SONY 3.0-022
(PATENT)

2681

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Ludtke et al.

Application No.: 10/014,960

Group Art Unit: 2681

Filed: December 11, 2001

Examiner: Not Yet Assigned

For: METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

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Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: April 29, 2004

Respectfully submitted,

By _____

Jeffrey S. Dickey

Registration No.: 35,858

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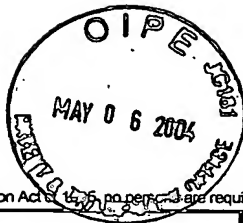
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PTO/SB/08a/b (08-03)
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/014,960		
		Filing Date	December 11, 2001		
		First Named Inventor	Harold A. Ludtke		
		Art Unit	2681		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-5,561,709	10-01-1996	Remillard	
	AB	US-5,878,235	03-02-1999	Porterfield et al.	
	AC	US-6,000,832	12-14-1999	Franklin et al.	
	AD	US-6,128,602	10-03-2000	Northington et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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